

***To serve society
seek the truth
strengthen morality
and benefit the country***



**Report on Graduate Education Quality of
Shanghai University of Finance and Economics
(Academic Year of 2018-2019)**



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01

Mission and Strategy of Graduate Education

ANNUAL REPORT ON QUALITY OF GRADUATE EDUCATION

The history of Shanghai University of Finance and Economics can be traced back to 1917 when a commerce program was established at Nanjing Higher Normal School. In 1921, the program was transferred to Shanghai and developed into Shanghai College of Commerce which was the first commercial university in China's history of education. Guo Bingwen, a renowned educator, acted as president, and Ma Yinchu, a well-known economist, was the provost.

In September 1985, the university adopted its current name of Shanghai University of Finance and Economics (SUFE). After generations of hard work and unremitting efforts, SUFE has become a multi-disciplinary key university that focuses on economics and management and maintains coordinated development in economics, management, law, arts and science. It was included into the Project 211 of National Key Universities in 1996 and the 985 Innovative Platforms for Key Disciplines Project in 2005. In 2012, the Ministry of Education of the PRC, the Ministry of Finance of the PRC and Shanghai Municipal People's Government signed an agreement to jointly develop SUFE. In 2017, SUFE joined the Double First Class University Plan.

Upholding the motto of "striving for virtue and knowledge and benefiting the country" and the philosophy of "serving society, seeking the truth, strengthening morality for education, and benefiting the country" and with the aim of developing itself into a world-renowned finance and economics-oriented university, SUFE strives to pursue high-quality innovative development by following the comprehensive leadership of the Communist Party of China (CPC), solidifying its roots in China, adhering to its fundamental task of strengthening morality for education, accelerating efforts to transform into a world-class university with Chinese characteristics under the Double First Class University Plan, deepening reforms in all aspects, and making further progress towards modernization, internationalization and informatization.

PHILOSOPHY

The philosophy of SUFE's graduate education is to develop for the country, look up to international standards, strengthen morality for education, and pursue excellence.

MISSION

The mission of SUFE's graduate education is to cultivate internationally competitive leading figures in finance and other fields to contribute to the development of China and the whole world.

STRATEGY

The overall development strategy of SUFE's graduate education is to adhere to the aim of developing the university into a world-renowned finance and economics-oriented university, comply with inherent laws of the development of education and high-level universities, put strengthening morality for education as its fundamental task, unswervingly follow the development direction of stabilizing education scale, improving education quality and developing education contents, focus on promoting the reform of classification-based education and optimizing the quality assurance system, emphasize the combination of science and education and that of industry and academy, and provide talents for the development of China's innovation capabilities and its international competitiveness.

VISION

The overall objective of SUFE's graduate education is to strengthen morality for education, cultivate top-notch finance and economics talents with solid ideals and beliefs, a global vision based on the Chinese national ethos, creativity, decisiveness, strong organizational capabilities, and tenacity, and produce future builders and successors of the socialist course with an all-round moral, intellectual, physical, aesthetical and occupational grounding.

02 Overview

ANNUAL REPORT ON QUALITY OF GRADUATE EDUCATION

2.1 Discipline Development

Adhering to the principle of building “world-class backbone disciplines of economics and management as well as advanced disciplines of law, arts, science and engineering”, SUFE has developed a finance and economics-oriented multi-disciplinary system, laying a sound foundation for fostering top-notch finance and economics talents with deep knowledge and profound expertise. It focuses on building world-class economics and business disciplines to promote its overall discipline development.

Table 1 Disciplines of SUFE

项目	数量	具体情况
Disciplines of the Double First Class University Plan	1	Economics and Business (including Statistics, Theoretical Economics, and Business Administration)*
National Key Disciplines	3	History of Economic Thoughts, Public Finance, and Accounting
National Key Disciplines (Training Programs)	1	Finance
Key Disciplines of the Ministry of Finance	4	Accounting, Public Finance, Statistics, and Industrial Economics
Key Disciplines of Shanghai	6	Finance, Accounting, Industrial Economics, Regional Economics, Statistics, and Western Economics
Shanghai First-class Disciplines (Type A)	2	Theoretical Economics and Statistics
Shanghai First-class Disciplines (Type B)	4	Applied Economics, Business Administration, Science of Law, and Management Science and Engineering
Class II Shanghai Peak Disciplines for Higher Education	1	Theoretical Economics
Class IV Shanghai Peak Disciplines for Higher Education	1	Applied Economics
Categories of Disciplines	7	Philosophy, Economics, Science of Law, Arts, Science, Engineering, and Management Science
First-level Doctoral Programs	9	Philosophy, Theoretical Economics, Applied Economics, Science of Law, Marxist Theory, Statistics, Management Science and Engineering, Business Administration, and Public Administration
Second-level Doctoral Programs	56	/
First-level Master's Programs	15	Philosophy, Theoretical Economics, Applied Economics, Science of Law, Marxist Theory, Chinese Language and Literature, Foreign Languages and Literatures, Journalism and Communication, Mathematics, Statistics, Software Engineering, Management Science and Engineering, Business Administration, Agricultural and Forest Economic Management, and Public Administration
Second-level Master's Programs	90	/
Professional Master's Programs	13	Master of Finance, Master of Applied Statistics, Master of Taxation, Master of International Business, Master of Insurance, Master of Valuation, Juris Master, Master of Teaching Chinese to Speakers of Other Languages, Master of Translation and Interpreting, Master of Business Administration, Master of Public Administration, Master of Accounting, and Master of Engineering Management
Post-doctoral Programs	7	Theoretical Economics, Applied Economics, Statistics, Management Science and Engineering, Business Administration, Marxist Theory, and Philosophy

* By the standards of the Plan of Shanghai University of Finance and Economics for the Development of First-class Disciplines (released on January 29, 2018); for more information please visit <http://news.sufe.edu.cn/4c/e5/c179a85221/page.htm>

Regarding domestic evaluation, according to the fourth round of national discipline assessment conducted by China Academic Degrees & Graduate Education Development Center (CDGDC), the Applied Economics and Business Administration of SUFE were ranked as A-level disciplines; its Statistics was ranked as A- and Theoretical Economics and Marxist Theory B+. As compared with the third round of national discipline assessment, one more discipline of SUFE was included in the top 5% of the whole country, and 50% or 6 of its assessed disciplines improved their rankings. According to the first assessment of professional degrees conducted by CDGDC, SUFE's Master of Accounting was ranked as A; its Master of Business Administration A-, and Juris Master and Master of Public Administration B+.

As for international evaluation, in recent years SUFE's economics and business disciplines have been gaining influence in the international academic community. In May 2019, its Economics & Business and General Social Sciences were ranked among the global top 1% by Essential Science Indicators (ESI) for the first time, representing a major breakthrough.

Table 2 International Rankings of SUFE's Dominant Disciplines*

QS World University Rankings (2019)		
Discipline	Global Rank	Rank in China
Economics and Measurement	No. 101-150	Both 6
Accounting and Finance	No. 151-200	Both 7
The Best Global Universities' Subject Rankings of U.S. News (2019)		
Discipline	Global Rank	Rank in China
Economics and Business	Both 156	No. 7
ShanghaiRanking's Global Ranking of Academic Subjects (2019)		
Discipline	Global Rank	Rank in China
Statistics	No. 76-100	No. 2
Finance	No. 76-100	Both 3
Economics	No. 101-150	Both 4
The Tilburg University Top 100 Worldwide Economics Schools Research Ranking		
Discipline	Global Rank	Rank in China
Economics	Both 49	No. 2
The UTD Top 100 Business School Research Rankings		
Discipline	Global Rank	Rank in China
Business	No. 131	No. 6
ASU Finance Research Rankings		
Discipline	Global Rank	Rank in China
Finance	Both 118	No. 4
BYU Accounting Rankings		
Discipline	Global Rank	Rank in China
Accounting	Both 122	No. 1

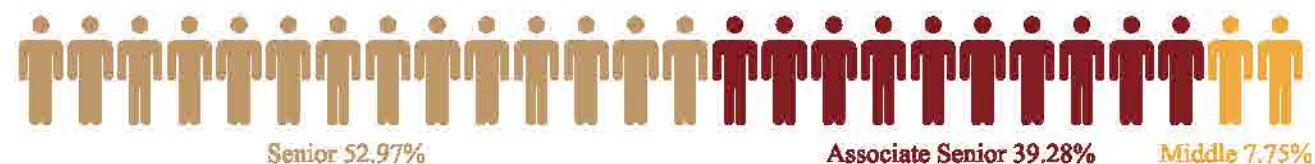
* Tilburg, UTD, ASU and BYU provide rankings of different periods. Table 2 shows 2014-2018 rankings made by Tilburg, UTD and ASU, and rankings of the latest six years made by BYU. Ranking at the same level is considered a tie.

2.2 Supervisors

SUFE is building a stronger team of supervisors, with a focus on classification-based graduate education. It has adopted the position-based supervisor selection mechanism to promote the replacement of the supervisor tenure system with the supervisor position system, emphasizing the building of the ability to conduct independent scientific research for academic graduate students. It has adopted the double-supervisor system that employs industry experts and experienced professionals as external supervisors, highlighting the building of practical skills for professional talents.

In recent years, SUFE has been constantly improving the structure of its supervisor team. As of September 2019, SUFE had had 1,687 graduate supervisors, 387 full-time doctoral supervisors, 29 part-time doctoral supervisors, 724 full-time graduate supervisors (including full-time doctoral supervisors), and 934 part-time master supervisors.

The Academic Title & Rank Structure of Doctoral Supervisors



The Academic Title & Rank Structure of Master Supervisors



Figure 1 The Academic Title & Rank Structure of SUFE's Full-time Supervisors

The Degree Structure of Doctoral Supervisors



The Degree Structure of Master Supervisors

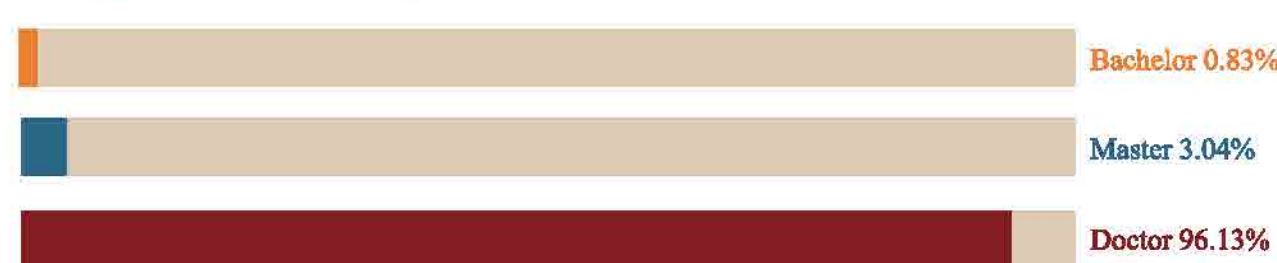


Figure 2 The Degree Structure of SUFE's Full-time Supervisors

2.3 Student Pool

2.3.1 Size and Structure

In 2019, SUFE enrolled 2,675 graduate students in total, consisting of 296 doctoral students (26 from Hong Kong, Macao and Taiwan) and 2,379 master's students (15 from Hong Kong, Macao and Taiwan).

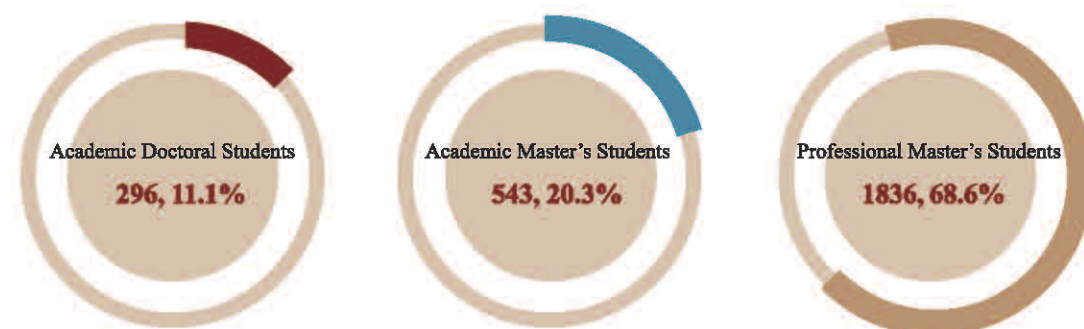


Figure 3 The Structure of Graduate Students SUFE Enrolled in 2019

2.3.2 Ratio of Applicants to Admission Offers

Apart from admissions in Hong Kong, Macao and Taiwan, 1,273 and 14,555 people applied for doctoral and master's degrees of SUFE respectively in 2019, making the ratios of applicants to admission offers reach 4.7:1 and 6.2:1 respectively. Table 3 and Table 4 respectively show the applicants and admission offers of SUFE's doctoral degrees and master's degrees by first-level discipline in 2019.

Table 3 Applicants and Admission Offers of SUFE's Doctoral Degrees in 2019

Name of First-level Discipline	Applicants	Planned Admission Offers	Actual Admission Offers	First Choice Admission Offers	Retrial Cutoff Line	Admission Offers of Successive Master-doctor Programs
Philosophy	95	18	18	18	160	-
Economics Category*	160	11	11	11	197	-
Theoretical Economics	94	22	22	22	170	14
Applied Economics	298	84	84	84	Application and Appraisal Admission	24
Science of Law	187	24	24	24	180	3
Marxist Theory	117	10	10	10	165	-
Statistics	45	19	19	19	Application and Appraisal Admission	15
Management Science and Engineering	68	24	24	24	Application and Appraisal Admission	5
Business Administration	161	48	48	48	160	16
Public Administration	48	10	10	10	Application and Appraisal Admission	-
Total	1273	270	270	270	-	77

* The School of Economics of SUFE conducts uniform admission and education for different majors under the economics category, which allows two-way selection to decide supervisors and thesis groups after admission.

Table 4 Applicants and Admission Offers of SUFE's Master's Degrees in 2019

The Name of First-level Discipline / Professional Degree	Applicants	Planned Admission Offers	Actual Admission Offers	First Choice Admission Offers	Retrial Cutoff Line	Exam Exemptions Offers
Philosophy	60	22	22	22	295	-
Theoretical Economics	272	38	38	38	355	15
Applied Economics	1358	132	132	132	355	50
Science of Law	257	41	41	41	333	14
Sociology	41	13	13	10	373/320*	4
Marxist Theory	59	26	26	24	320	-
Chinese Language and Literature	160	24	24	24	355	-
Foreign Languages and Literatures	162	27	27	27	374/379/403*	11
Journalism and Communication	143	19	19	19	371	5
Mathematics	93	25	25	25	316	8
Statistics	140	25	25	25	386	12
Software Engineering	12	8	8	8	270	3
Management Science and Engineering	185	47	47	47	374	22
Business Administration	816	69	69	69	355	28
Public Administration	143	20	20	20	355	2
Master of Finance	3077	264	264	264	386/376/374/366/360/345*	93
Master of Applied Statistics	500	89	89	89	376/382	38
Master of Taxation	346	67	67	67	370	22
Master of International Business	593	79	79	79	389	42
Master of Insurance	142	22	22	22	368	2
Master of Valuation	327	69	69	69	380	18
Juris Master	1379	157	157	157	327/344*	32
Master of Teaching Chinese to Speakers of Other Languages	107	28	28	28	347	-
Master of Translation and Interpreting	163	27	27	27	371	5
Master of Business Administration	1774	674	674	674	170	-
Master of Public Administration	265	86	86	86	170	-
Master of Accounting	1785	206	206	206	242/192*	46
Master of Engineering Management	196	60	60	60	170	-

* Retrial cutoff lines for different majors or research fields of the discipline or professional degree.

2.4 Current Students

As of September 2019, the number of current graduates of SUFE had reached 7,816 and its ratio of undergraduate students to graduate students had been 1.027:1.

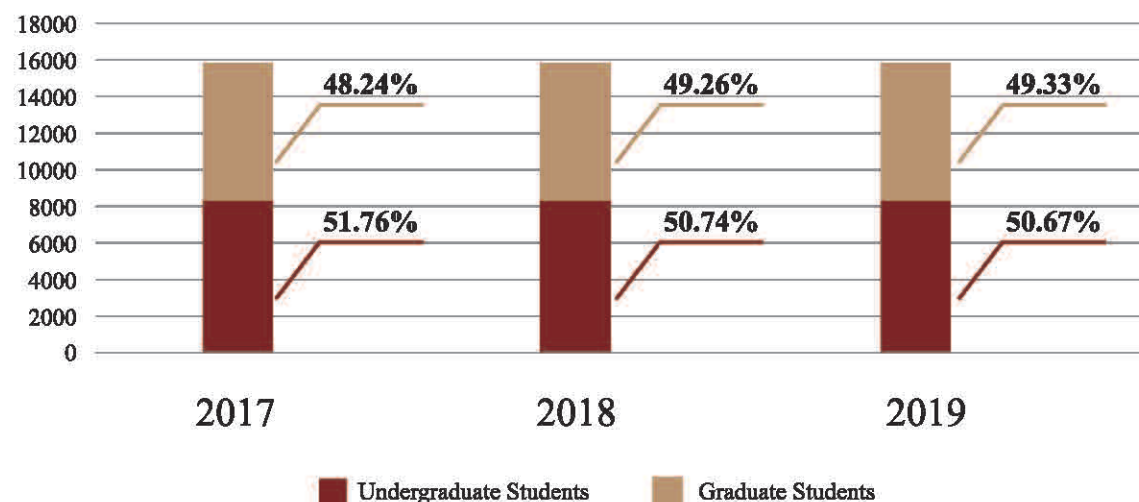


Figure4 Proportions of Undergraduate Students and Graduate Students of SUFE from 2017 to 2019

Among current graduate students of SUFE, 1,308 had been academic doctoral students, 1,306 academic master's students, and 5,202 professional master's students. Academic graduate students and professional graduate students had accounted for 33.44% and 66.56% respectively; among master's students, academic ones had accounted for 20.07% and professional ones 79.93%. The ratio of doctoral students to doctoral supervisors had reached 3.44:1 and that of master's students to master supervisors 3.91:1.

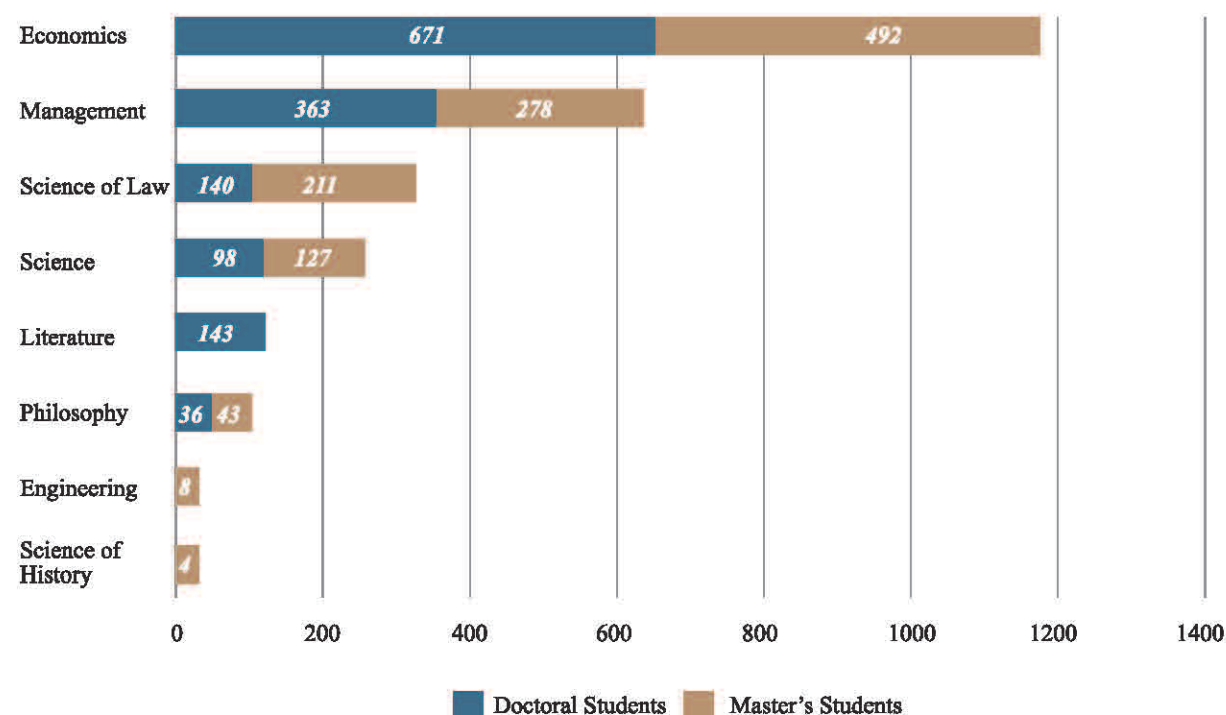


Figure 5 Discipline Distribution of Current Academic Graduate Students of SUFE

2.5 Scholarships and Grants

SUFE has been adhering to its fundamental task of strengthening morality for education and insisting on providing scholarships and grants to encourage innovative development of graduate students and promote development of their innovation capabilities. In the academic year of 2018-2019, its full-coverage scholarship and grant system provided scholarships of 37.962 million RMB and grants of 32.2997 million RMB for 3,894 and 5,418 graduate students respectively.

2.6 Management Faculty

To align with the development trends and requirements of its graduate education, SUFE has established a set of rules for graduate education and teaching, basically built a properly structured team of excellent and dynamic management faculty, and developed a multi-level management system connecting the university and its schools and functional departments. SUFE currently has more than 70 full-time management faculty for graduate education, over 90% of which hold graduate degrees.

2.7 Infrastructure

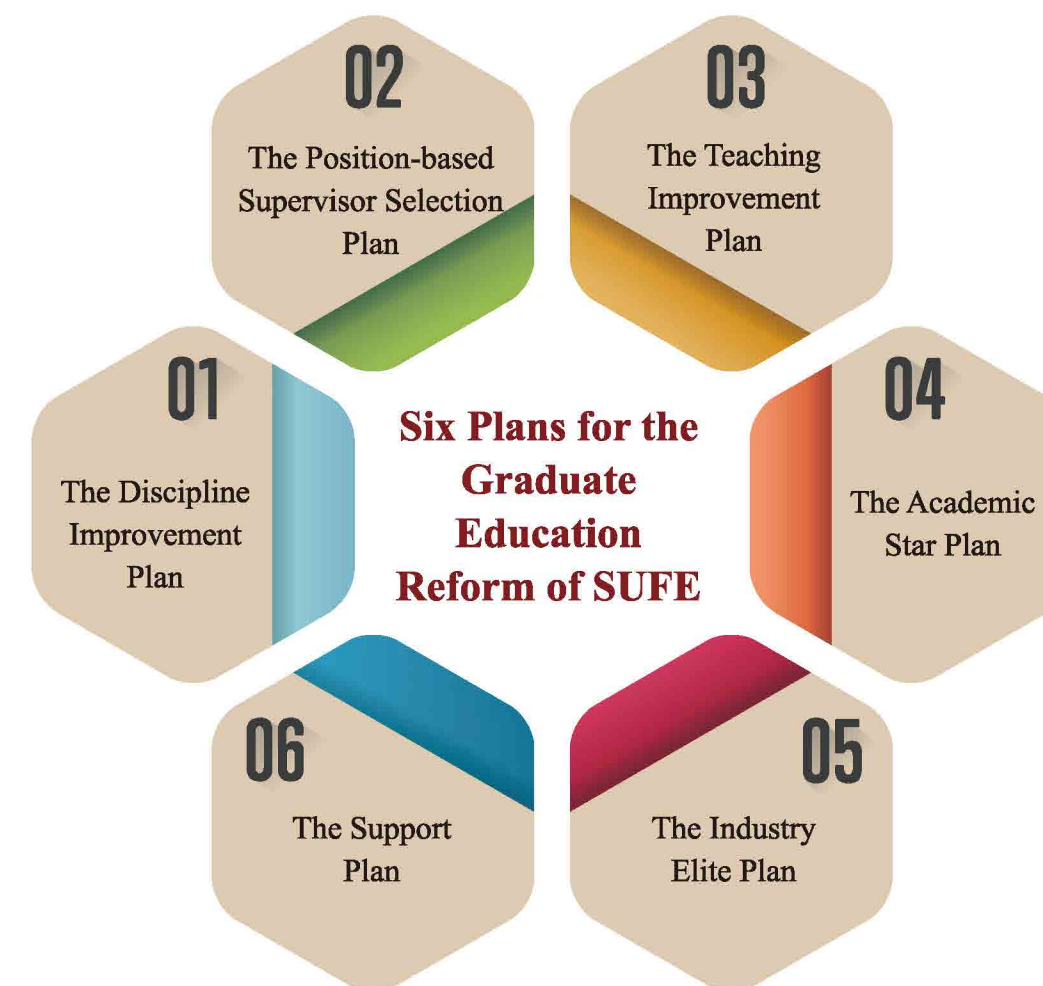
SUFE has been keeping improving the resources and infrastructure for graduate education to ensure provision of supporting conditions for graduate teaching and research. It currently has one Key Research Institute of Humanities and Social Science in University under the Ministry of Education, one Key Laboratory under the Ministry of Education, one Base of Confucius Institute Headquarters, one Base of the Supreme People's Court, one sub-center of Shanghai Social Survey Research Center, one branch of Shanghai Education Legislation Advisory and Service Research Base, one Shanghai 2011 Coordinated Innovation Center, four university think tanks of Shanghai, three Bases for Shanghai Social Science Innovation Research, one Key Laboratory of Shanghai, and three Studios of the Decision-making and Consulting Research Base of Shanghai Municipal People's Government; it also has 29 professional laboratories, seven of which are mainly used for teaching, six for scientific research, and 16 for teaching and scientific research. SUFE has been actively exploring teaching & research laboratories-powered innovative models for educating graduate students majoring in economics and management.

The SUFE Library consists of a main library (Yingxian Library at Wuchuan Road Campus) and a branch (No.1 North Zhongshan Road Campus) with a total building area of 33,712 square meters. It provides nearly 3,000 reading seats and as of the end of 2018 had housed total collections of 11.328 million books and 126 databases. In 2018, it received over 1.7 million visits in total, representing an average of more than 4,500 visits per day, and about 70,000 books were borrowed, while more readers accessed electronic resources via the campus network.

03 Development and Reform

ANNUAL REPORT ON QUALITY OF GRADUATE EDUCATION

To closely follow the development strategy of the Double First Class University Plan and the spirit of the 8th CPC Congress of SUFE and the 14th Teaching Reform Conference of SUFE and to further promote the achievement of its various graduate education goals, in the academic year of 2018-2019 SUFE adhered to its fundamental task of strengthening morality for education, strived to improve the quality of its graduate education, pushed forward with such six plans as the discipline improvement plan, the position-based supervisor selection plan, the teaching improvement plan, the Academic Star plan, the industry elite plan, and the support plan, and conducted a series of initiatives for the development and reform of its graduate education.



3.1 Fundamental Task of Strengthening Morality for Education

Under the leadership of the SUFU Party Committee, adhering to its fundamental task of strengthening morality for education, and guided by Xi Jinping Thought on Socialism with Chinese Characteristics for a New Era, SUFE has been enhancing faculty development, innovating its work style, and vigorously building a favorable environment for research development, so as to effectively improve its graduate education.

First, SUFE has been building a favorable academic atmosphere, with a focus on role-model education. To serve the goal of developing world-renowned disciplines of economics and business and cultivating top-notch finance and economics talents, SUFE has held a series of events for graduate students, including the Renovation Forum, the Award Ceremony for Winners of the National Scholarship and Academic Star, and the National Scholarship Winner Show. By adopting role-model education, it aims to create an inspirational academic atmosphere that features mutual learning and encourage graduate students to pursue truth and be insightful and sensible. Second, SUFE has been relying on new media to strengthen moral education of graduate students. Its WeChat official account has released a number of articles with nearly 30,000 views in total, including *One-minute Analysis of the Excellence Survey Report, 2018 National Scholarship Winner Show, 2018 Academic Star Award Winners, and Remarks of Senior Schoolmates*, with the view of enriching its cyberculture and using the Internet to maximize the influence of role models and inspire students to love and be proud of SUFE. Third, SUFE has established practice-based education platforms including the research of 1,000 villages and providing students with such posts as teaching assistants, research assistants, and management assistants. It focuses on developing high-quality projects for practice-based education, and its Research of 1,000 Villages Project: Visiting 1,000 Villages and 10,000 Households to Understand China has been approved by the Ministry of Education, laying a solid foundation for further deepening its research of 1,000 villages. By holding the Opening and Award Ceremony of the Research of 1,000 Villages Project, as well as related training workshops, SUFE provided effective moral education in various forms for graduate students. Meanwhile, it has been promoting experiential education by hiring students as teaching assistants, research assistants, and management assistants. Fourthly, SUFE has been enhancing the development of instructors, with a focus on creating high-quality projects for moral education of graduate students. In 2019, it launched one major project and two general projects to enable a new moral education landscape that features “innovative development driven by moral education”.

[Column: Xu Tao, Secretary of the SUFE Party Committee, Joins Research of 1,000 Villages to Probe into Rural Education]

On July 30-31, 2019, Xu Tao, Secretary of the SUFE Party Committee, made a research tour in Guizhou Province, visiting the rural areas in Bozhou District, Zunyi and Daozhen County. Despite the blazing summer sun, he visited rural students living in poverty and SUFE's 21st Education Assistance Group of Graduate Students. As part of his participation in the Research of 1,000 Villages Project, Xu Tao convened a gathering to offer his guidance on the project, visited local villages and households and attended the Lecture Meeting for 1,000 Villages. His participation in rural education research by visiting villages in person has promoted the implementation of the 2.0 version of the Research of 1,000 Villages Project, strengthened the sense of gain and mission of the participating students, reflected the spirit of the 8th SUFE Party Congress, and deepened students' recognition of and sense of belonging to SUFE, representing an innovative measure to meet the requirement of the Ministry of Education for enhancing and improving the interaction between university leaders and students through visits to the grassroots level.



Xu Tao and SUFE students during a research tour to Chengguan Village, Yuxi Town, Daozhen County

3.2 Discipline Improvement

Regarding discipline development, SUFE adheres to the basic principle of “focusing on major disciplines to ensure overall improvement, conducting classification-based and performance-oriented management, optimizing the discipline structure, and deepening reforms”. It has been adjusting its discipline structure to create a disciplinary ecosystem that features interdisciplinary integration and coordinated innovation, building “world-class backbone disciplines of economics and management as well as advanced disciplines of law, arts, science and engineering”.

[Column: SUFE's Economics & Business and General Social Sciences Ranked Among Global Top 1% by ESI]

According to the latest data released by Essential Science Indicators (ESI) on May 9, 2019, SUFE's disciplines (Economics & Business and General Social Sciences) for the first time were ranked among the global top 1%, marking a major breakthrough in its implementation of the Double First Class University Plan and reflecting the expanding international presence of its disciplines.

3.3 Position-based Supervisor Selection

Following the spirit of the National Conference on Ideological and Political Work in Universities and Colleges, SUFE has established strengthening morality for education as a responsibility of supervisors and specified the role of supervisors as the first owner of graduate education, with efforts to ensure the performance of their rights and obligations and promote the transformation from the supervisor tenure system into the supervisor position system by further diluting the concept of tenured supervisor and consolidating the position-based supervisor selection plan. SUFE has fully mobilized the enthusiasm of supervisors for graduate education through rule-based arrangement, aiming to build a team of supervisors with high ideals, strong beliefs, benign morality, broad knowledge, and a heart of benevolence.

[Column: Organizing Training for Graduate Supervisors to Ensure Performance of Their Responsibility of Strengthening Morality for Education]

To further improve the academic atmosphere and compliance with teaching ethics, SUFE convened the 2019 Graduate Supervisors Work Conference on April 26, 2019, which was themed with “strengthening morality for education and improving academic atmosphere and compliance with teaching ethics” and attended by representatives of outstanding supervisors, graduate management directors of SUFE schools, and over 100 newly employed supervisors. At the conference, based on his evaluation on the doctoral students who accompanied him during his recent research tour, Xu Tao, Secretary of the SUFE Party Committee, shared his views on “how to make graduate students better embed academic research in China's realities” and encouraged supervisors to perform their responsibility of strengthening morality for education, serve as an example for students, enhance compliance with teaching ethics, broaden their horizons with a global perspective, and join hands to improve the quality of SUFE's graduate education. Then the leadership of the Fudan University Graduate School, teachers of the Graduate School of SUFE, representatives of outstanding supervisors, and newly employed supervisors shared their experience to enable mutual improvement and explore how to truly fulfill their educational responsibility and mission.

3.4 Admission

Upholding the principles of “fairness and openness”, SUFE has been constantly promoting regulated management and reform of graduate admission.

SUFE has adopted an accountability system to ensure effective implementation of detailed examination and admission work. The leadership of SUFE and its schools are directly responsible for producing detailed admission plans that highlight feasibility and risk evaluation as well as strict execution of the collective decision-making process. The schools should spare no effort to ensure strict compliance with admission rules as well as procedures for retrieval, enrollment and results submission and announcement.

SUFE continues to promote its reform of graduate admission and improve the quality of enrolled students. It released the revised *Measures of SUFE for Admission of Outstanding Fresh Graduates with a Bachelor's Degree by Recommendation for Master's Programs* (SUFE (2018) No. 32) to improve the quality of graduate students enrolled by recommendation, and has been deepening the pilot reform of the special support plan to motivate admissions by outstanding supervisors and promote admission of bachelor's degree holders for doctoral programs. Moreover, it has developed an interview management system to ensure more regulated retrieval and enrollment.

SUFE has been conducting admission advertisement through various channels and enhancing its brand image to attract high-quality applicants. It has opened an official account for its Graduate Admission Office on the WeChat platform. To attract high-quality applicants, SUFE has sent five graduate admission advertisement teams to famous universities and colleges in a total of ten cities each year for three consecutive years. It continues to support its Graduate School in organizing summer camps to identify high-quality applicants in advance. It has also created a mobile-based admission advertisement system through combined use of its WeChat official account, mobile website and supervisor information sharing platform.

[Column: SUFE Appears on Weibo's List of Trending Topics]

In 2019, SUFE's master's programs were applied by many high-quality applicants, who got high marks in the preliminary exam. After the release of exam results, SUFE went viral on the Internet and appeared on Weibo's list of trending topics. While saying that it was hard to be admitted to SUFE, applicants highly appreciated the fairness of SUFE's graduate admission. Comments included “I have to say that SUFE truly adheres to fairness and openness” and “You have to admit that SUFE is one of the universities that value fairness most in selection of graduate students”. While SUFE was intensely discussed due to its high threshold for admission and emphasis on fairness, its Graduate School kept a close eye on the Internet to meet the needs of applicants and solve their concerns in a timely manner and received positive feedbacks from them through delivery of considerate services and effective management of public opinions on the Internet.

3.5 Teaching Improvement

Focusing on the aim of cultivating top-notch finance and economics talents, insisting on the position of “interdisciplinary, international-oriented and innovative” education, laying equal emphasis on fostering practical talents and academic talents, and upholding the concept that adopts creative models and puts abilities, students and supervisors first, SUFE highlighted its focus on fostering finance and economics talents in the contents of its education program, the development of its course system, and the design of course modules. In the academic year of 2018-2019, SUFE opened 1,289 courses which were delivered 1,439 times.

■ Table 5 Opened Graduate Courses in the Academic Year of 2018-2019

Type	Master's Courses	Doctoral Courses	Total
Number of Courses	890	399	1289
Number of Times Delivered	1018	421	1439

3.5.1 Development of Graduate Courses

In the academic year of 2018-2019, SUFE reviewed a total of 335 textbooks for graduate courses in terms of political stance, ideology and value orientation and included uniform use of key textbooks of the Marxist Theories Research and Development Project into related training programs and teaching plans.

To implement the *Plan of SUFE for the Development of Graduate Courses*, SUFE continues to develop textbooks for graduate courses and conduct educational and teaching reforms. In the academic year of 2018-2019, SUFE launched a total of 31 projects for development of key graduate courses, 10 projects for development of courses guided by ideological and political thoughts, and 27 projects for educational and teaching reforms. Meanwhile, SUFE has completed the development of 39 high-quality graduate courses at the university level, so as to deliver more regulated teaching and improve the quality of graduate education.

3.5.2 Courses in English

Opening graduate courses in English and building a platform for graduate courses in English are two important steps to promote the internationalization of SUFE's graduate education and talent cultivation. After years of exploration and practice, it has developed a number of graduate programs in English, including master's programs for majors in finance, law, international business, regional economics and public administration and a doctoral program for majors in law. In the academic year of 2018-2019, these graduate programs in English opened courses for 58 times.

To enable graduate students to enjoy high-quality foreign educational resources in China, SUFE strives to build a brand for its summer international courses for graduate students by developing a more internationalized curriculum system, reforming teaching methods, and diversifying talent training models. In the academic year of 2018-2019, SUFE launched the 5th batch of 53 summer international courses, which were delivered in English by experts and scholars from world-renowned universities including the University of Oxford, Columbia University, University of Pennsylvania, University of California, Berkeley, University of Southern California, New York University, Carnegie Mellon University, Johns Hopkins University, University of Toronto, and University of Tokyo.

3.6 Academic Star

3.6.1 Innovation Plans and Academic Activities

The graduate education innovation plan is a vital initiative of SUFE to cultivate innovative talents. In the academic year of 2018-2019, SUFE received approval for one program under the Shanghai Leading Graduate Education Plan, as well as one Shanghai graduate summer school and two Shanghai graduate academic forums, which invited more than 20 domestic and foreign experts and scholars and was attended by over 300 students; meanwhile, SUFE funded seven graduate summer schools and graduate academic forums at the university level, which invited more than 50 domestic and foreign experts and scholars and attracted the participation of over 600 students. Successful implementation of various activities including graduate summer schools and graduate academic forums not only diversified forms of academic activities but also expanded academic views of graduate students, significantly enriching the contents of academic exchanges.

■ Table 6 SUFE's Graduate Education Programs in the Academic Year of 2018-2019

No.	Name	Type
1	Reform of Training of International Organization Talents	Program under the Shanghai Leading Graduate Education Plan
2	2019 Shanghai Graduate Summer School on Modern Economics	Shanghai Graduate Summer School
3	2019 Shanghai Graduate Academic Forum on Social Security and Social Policies	Shanghai Graduate Academic Forum
4	2019 Shanghai Graduate Academic Forum on Chinese Marxism Leading China's Rapid Development	Shanghai Graduate Academic Forum
5	2019 Graduate Summer School on Contemporary Accounting Research	University-level Graduate Summer School
6	2019 Graduate Summer School on Critical and Creative Thinking	University-level Graduate Summer School
7	2019 Graduate Summer School on Looking at the Frontiers of Modern Statistics: Theories, Methodologies and Cross Application	University-level Graduate Summer School
8	2019 National Graduate Academic Forum on Legal Solutions for Pilot Free Trade Zones	University-level Graduate Academic Forum
9	2019 Graduate Academic Forum on Mass Media and Building an International Financial Center	University-level Graduate Academic Forum
10	2019 Graduate Academic Forum of the School of Foreign Studies	University-level Graduate Academic Forum
11	2019 Graduate Academic Forum on Regional Science and Urban Economy/Free Trade Zone Economy	University-level Graduate Academic Forum

Academic activities including conferences and lectures are another important tool for graduate education. In the academic year of 2018-2019, SUFE held over 80 academic conferences at the national and international level, including the Global Chinese Real Estate Congress, Asia-Pacific Management Accounting Research Forum, China-Latin America Belt and Road Workshop on Rule of Law Cooperation, and China Industrial Economy Summit—Industrial Transformation and Upgrade in Shanghai on the 40th Anniversary of the Reform and Opening-up Policy: Review and Outlook, as well as over 400 academic lectures. These activities promoted academic discussions and exchanges, broadened the horizon of graduate students towards frontier research, and improved their capability of conducting high-level academic exchanges.

3.6.2 Selection of Academic Star Award Winners

SUFE launched the selection of winners of the 3rd Academic Star Award, which aimed to set academic models to stimulate students to commit themselves into academic research and starting businesses and bring up high-level innovation talents. Upon personal application, school recommendation, onsite oral defense and review, and approval of the university's selection leading group, five graduate students won the Academic Star Award and another five the nomination of Academic Star.

■ Table 7 Winners of the 3rd Academic Star Award

No.	Student ID	Name	School	Supervisor
1	2015310064	Li Guangqin	Institute of Finance and Economics	Cao Jianhua
2	2016311038	Zhou Yeqing	School of Statistics and Management	Feng Xingdong
3	2016310167	Ma Hui	School of Accountancy	Jin Qinglu
4	2015310066	Tian Zhihua	Institute of Finance and Economics	Shao Shuai
5	2016310132	Zhang Feng	School of Law	Hu Yuan
6	2015310097	Zhang Weisi	College of Business	Xie Jiaping
7	2016310108	Xia Yu	College of Business	Xie Jiaping
8	2016311024	Wu Yaoyao	School of Finance	Guo Lihong
9	2015310149	Wu Xiaohong	School of Information Management and Engineering	Han Jingti
10	2016310144	Guo Junwu	School of Law	Ye Wenping

[Column: Providing Role Models of Striving for Virtue and Knowledge to Promote Personal Improvement, Cultivating Economic Talents That Benefit the Country to Boost Innovation-driven Development]

Li Guangqin, who was admitted into the Institute of Finance and Economics in 2015, is a doctoral student majoring in urban economics and management and focusing on energy and environmental economics. Supervised by Prof. Cao Jianhua, he has published a number of papers in renowned journals including *Technological Forecasting and Social Change* (SSCI Q1), *Journal of Environmental Management* (SCI Q1), *The Journal of World Economy*, *Economic Perspectives*, *Finance & Trade Economics*, and *Journal of Public Management*. He also has several papers currently being reviewed by academic journals. He was granted the National Scholarship for Graduate Students in 2017 and 2018.

Zhou Yeqing, who was admitted into the School of Statistics and Management in 2014, is a successive master-doctor program student majoring in mathematical statistics and focusing on high-dimensional data analysis. Supervised by Prof. Feng Xingdong and Prof. Zhu Liping, she has published a number of papers in SCI journals including *Statistica Sinica* and *Statistics and Its Interface*. She was granted the National Scholarship for Graduate Students in 2015, 2017 and 2018 and was honored as an Outstanding Graduate of Shanghai in 2014 and 2016. In the academic year of 2017-2018, she was enrolled into a government-sponsored joint doctoral program to study at the University of California, Berkeley.

Ma Hui, who was admitted into the School of Accountancy in 2016, is a doctoral student majoring in accounting and focusing on institutional transition and corporate finance. Supervised by Prof. Jin Qinglu, she has published papers in academic journals including *Journal of Empirical and Finance*, *Management World* and *Accounting Research*. She was granted the National Scholarship for Graduate Students in 2018 and was a nominee of the 2nd Academic Star Award.

Tian Zhihua, who was admitted into the Institute of Finance and Economics in 2015, is a doctoral student majoring in urban economics and management and focusing on environmental economics. Supervised by Shao Shuai, he has published a number of papers in journals including *World Development*, *Journal of Transport Geography*, *Journal of Cleaner Production*, and *Journal of Finance and Economics*. He was a nominee of the 2nd Academic Star Award.

Zhang Feng, who was admitted into the School of Law in 2016, is a doctoral student majoring in environmental and resources protection law and focusing on environmental regulation. Supervised by Associate Professor Hu Yuan, he led and completed one key decision-making consulting project for Shanghai Municipal People's Government, participated in a number of national projects and Ministry of Justice-sponsored projects led by his supervisor, and published papers in core journals including the *Journal of the Party School of the CPC Central Committee*. He was granted the Outstanding Thesis Award for his research outcomes at the 16th Academic Conference of Shanghai Social Science Associations.



Winners of the 3rd Academic Star Award express gratitude to their supervisors

3.7 Industry Elite

3.7.1 Development of SUFE Case Center

In May 2018, SUFE set up the SUFE Case Center with the mission of cultivating “top-notch finance and economics talents with a global vision based on the Chinese national ethos, creativity, decisiveness, and strong organizational capabilities” and the goal of developing into a leading finance and economics-oriented case development and sharing platform in China, aiming to improve its education of professional graduate students by promoting case development and case-based teaching.

In October 2018, after being reviewed by an expert panel, a total of 52 teaching cases recommended by SUFE schools were approved for funding. In the academic year of 2018-2019, the SUFE Case Center and SUFE schools held 14 case writing and teaching seminars, nine case research symposiums, and two case workshops, which were attended by over 20 domestic and foreign guest experts and provided related training for nearly 1,000 teachers and students.

3.7.2 Practice Bases and Practical Courses

To further improve internship and practice of professional graduate students, SUFE schools have established more than 100 practice bases, 11 of which were approved as Shanghai Practice Bases for Professional Graduate Students (including four model practice bases). Each base enrolls over 100 students per month during an academic year.

SUFE has been building joint training bases in collaboration with enterprises and other organizations, with the view of promoting the professional degree education model that integrates production and education. These joint training bases offer internship and practice opportunities for professional graduate students and participate in formulation and revision of SUFE’s education programs for professional degrees, course development and improvement, and topic selection and supervision of dissertation writing, while providing scholarships and special education development funds to help improve SUFE’s professional degree education. In the academic year of 2018-2019, a total of 16 practical courses and over 20 courses, each delivered by two teachers, were opened by SUFE schools. Through the jointly built training bases, professional graduate students can have a better understanding of the industries and have themselves better prepared to meet the requirements of economic and social development.

3.7.3 Development of Industry Supervisors

Adhering to industry-oriented professional degree education, SUFE invites successful industry professionals to give face-to-face guidance and help to graduate students. Its schools further promote the development of the double-supervisor system. To improve the industry supervisor selection mechanism, they select respectable and experienced professionals from organizations with which SUFE has established training bases and invite them to deeply participate in the education process of professional graduate students and play a leading role in paper topic selection, practice-based teaching and career planning.

3.7.4 Innovation and Entrepreneurship Education

In the academic year of 2018-2019, the School of Entrepreneurship and Innovation expanded the influence of SUFE’s innovation and entrepreneurship education by organizing large-scale events including the National Conference on Innovation and Entrepreneurship Education, the National Forum of University Entrepreneurship Deans, and the Think-Youth series event. It also founded the China Research Center for Social Entrepreneurship. Some of students who started their own businesses won the gold awards of the National Entrepreneurship Competition for College Students (for MBA Students) and the Shanghai Internet Plus Competition. In 2018, SUFE’s entrepreneurship guidance center was recognized as an A-level University Entrepreneurship Guidance Center.

3.8 International Education and Cooperation

In order to expand the academic vision of its graduate students and improve its graduate education and international competitiveness, SUFE has established practice platforms for international graduate education through government-sponsored overseas education, university-sponsored joint doctoral programs, and exchange programs to encourage students to study abroad. SUFE has provided guidance and help for students by holding information sessions on government-sponsored overseas education, lectures on studying abroad and trainings on preparations for studying abroad. SUFE especially encourages doctoral students to carry out international academic research under the guidance of renowned supervisors at top foreign universities and colleges and provides certain financial support.

Table 8 Number of Students of SUFE Enrolled into Sponsored Study Abroad Programs in Recent Years

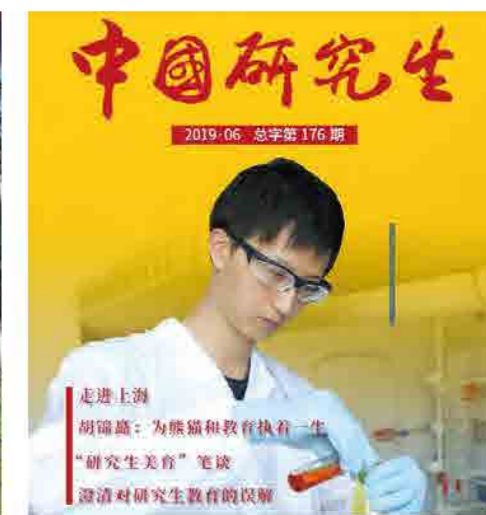
Level	Type	Year			
		2019	2018	2017	2016
Doctoral Program	Government-sponsored Doctoral Program	1	1	1	-
	Government-sponsored Joint Doctoral Program	16	13	20	15
	University-sponsored Joint Doctoral Program	10	10	7	8
Subtotal		27	24	28	23
Master's Program	Government-sponsored Master's Program*	-	2	3	1
	Government-sponsored Joint Master's Program*	1	5	6	5
	Joint Program for Innovative Talent Training/Program for Training of Backup Talents for International Organizations*	26	35	39	19
	Exchange Program	14	5	5	6
	Government-sponsored International Organization Internship Program	3	5	1	-
Subtotal		44	52	54	31
Total		71	76	82	54

*Note: 1. The government-sponsored master's program is no longer available in 2019, but students can still study master's degrees abroad by attending other programs including the joint master's program. For more information, please refer to related provisions of Article 4 under Chapter 2 of the 2019 Measures for the Selection of Chinese Students Studying Abroad Funded by the China Scholarship Council.
2. Since March 2019, SUFE's Joint Program for Innovative Talent Training has been approved by the China Scholarship Council to be included as a Program for Training of Backup Talents for International Organizations.

To fulfill the strategic goal of implementing the Double First Class University Plan and building a “Global SUFE”, SUFE continues to promote the Program for Training of International Organization Talents. Since the program was launched four years ago, SUFE has been making effective investment in development of new models for training of backup talents for international organizations. The program has so far sent four batches of students to study at top foreign universities and colleges that have established partnership with SUFE. In the academic year of 2018-2019, the fourth batch of 26 students received admission offers from partner universities and colleges and were recommended to be enrolled into the Program for Training of Backup Talents for International Organizations of the China Scholarship Council. As of August 2019, the program had sent nearly 40 students to work as interns in international organizations including the United Nations Development Programme, United Nations Office for Project Services, Joint Inspection Unit of the United Nations System, United Nations Educational, Scientific and Cultural Organization (UNESCO), and United Nations Economic and Social Commission for Asia and the Pacific. After graduation and entry into the workplace, participants of the program are still striving for their original goal of joining international organizations and other global governance teams by applying to the Ministry of Education for various programs for training of backup talents for international organizations and related internship programs, as well as by participating in various training sessions for capacity building of international civil servants.

[Column: A Global Vision Based on Love for Motherland: SUFE Holds the First Certificate Delivery Ceremony of the Program for Training of International Organization Talents]

On June 23, 2019, SUFE held the first certificate delivery ceremony of the Program for Training of International Organization Talents to celebrate the successful completion of study and internship at home and abroad of the first two batches of nearly 60 participants and their acquisition of program certificates, marking a new chapter for SUFE’s training of international organization talents. The ceremony was attended by Yao Lingzhen, Vice President of SUFE, Prof. Ye Qing from the Shanghai Institutes for International Studies, and the leadership of SUFE departments and schools including the Graduate School and the Student Department and Graduate Department of the SUFE Party Committee, and received video congratulations from representatives of the faculty of foreign partner universities and colleges including the University of North Carolina at Charlotte. The representatives of the second batch of participants shared their three-year experience as students studying at home and abroad and as interns in various international organizations including the International Trade Centre, International Finance Corporation and UNESCO, and expressed their gratitude and best wishes to SUFE and the program as well as earnest expectations for their junior schoolmates. Before the end of the ceremony, the program flag was passed on to the fourth batch of participants who vowed before the Chinese national flag that they would “strive to become a member of the Program for Training of International Organization Talents, participate in global governance, make the voice of China heard, and join hands to build China into a great nation!”



Pictures:

1. SUFE holds the first certificate delivery ceremony of the Program for Training of International Organization Talents
2. Participants of the Program for Training of International Organization Talents visit patriotic education bases in Shanghai including the Former Residence of Chen Yun and Longhua Martyrs Cemetery

Pictures:

3. Participants of the Program for Training of International Organization Talents work as interns in UNDP, UNESCAP and other international organizations
4. Chinese Graduate Students uses a column article to present the outcomes of training of international organization talents by Shanghai and SUFE in its 176th issue in June 2019

04 Quality Monitoring System

ANNUAL REPORT ON QUALITY OF GRADUATE EDUCATION

4.1 Development of Rules and Regulations

Attaching high importance to the development of rules and regulations, SUFE has set up and keeps improving its management system for graduate education to promote rule-based university governance. In the academic year of 2018-2019, the Graduate School of SUFE continued its formulation of the *Constitution of the SUFE Academic Degrees Evaluation Committee* and released the *Measures of SUFE for Dissertation Sampling Inspection and the Handling of Contentious Dissertations (Revised in January 2019)* (SUFE Code of Conduct [2019] No. 1). By reviewing its whole process of graduate admission, SUFE released six regulatory documents (to be submitted to the Office of President for review) to complement its graduate admission management system. It also formulated and released the *Trial Measures of SUFE for Training and Management of Bachelor's Degree Holders Studying Doctoral Degrees* (SUFE Code of Conduct [2019] No. 18).

4.2 Quality Monitoring of Dissertations

To realize contents-focused graduate education and improve the quality of graduate dissertations, SUFE regards the quality of dissertations as a key indicator for assessing graduate education, and has been building an effective system for monitoring the quality of dissertations by enhancing efforts in system development, conducting strict process management, emphasizing the defense process, and setting up various academic funds.

In recent years, upholding the principle of “bringing openness to implementation, regulation and services”, SUFE has been applying information disclosure and inspection to dissertation pre-defense and defense and adopted information-powered methods with the aim of enhancing the solemnity of and the importance students and supervisors attach to dissertation defense, and improving dissertation quality.

[Column: Continuing the Building of the Dissertation Quality Monitoring and Assurance System]

Following the spirit of the *Circular of the General Office of the Ministry of Education on Further Regulating and Enhancing Graduate Education and Management* (Educational Research [2019] No. 1), SUFE continues to build the dissertation quality monitoring and assurance system through system development, process management, and blind review of selected dissertations. In the academic year of 2018-2019, it released the *Measures of SUFE for Dissertation Sampling Inspection and the Handling of Contentious Dissertations (Revised in January 2019)* (SUFE Code of Conduct [2019] No. 1), employed the Dissertation Review Platform of the China Academic Degrees & Graduate Education Development Center to conduct pre-defense double-blind review of all doctoral and master's dissertations, and published the review results on the website of the Graduate School. Though integration of institutional and system development, it has opened the whole process of pre-defense, blind review, defense, and review of scientific research projects to public scrutiny.

4.3 Development of Academic Atmosphere and Ethics

SUFE attaches great importance to the development of academic atmosphere and ethics and firmly cracks down on dissertation frauds. To implement the *Opinions of the General Office of the CPC Central Committee and the General Office of the State Council on Further Enhancing Research Integrity* (No. 17 Bulletin of the State Council in 2018) and the *Measures of the Ministry of Education for Handling Dissertation Frauds* (No. 34 Order of the Ministry of Education), SUFE has included integrity education and learning of academic rules in the orientation course, training for newly employed supervisors, and internal training of the graduate education and management team, and maintains zero tolerance for academic misconduct, while organizing schools to conduct self-examination of dissertation frauds and dealing with reported academic misconduct in a timely manner. In the academic year of 2018-2019, a total of six academic degree applicants with academic misconduct were identified by school-level committees for academic degrees evaluation and, after being reviewed by the SUFE Academic Ethics and Integrity Committee, were finally considered ineligible by the SUFE Academic Degrees Evaluation Committee.

4.4 Rule-based University Governance and Information Disclosure

Following the basic strategy of “rule-based university governance” and with a focus on “rules and regulations, working guidelines and information disclosure”, SUFE continues to promote the contents-focused development of graduate education by ensuring strict compliance with related laws and regulations during the admission process, constantly building a more regulated training process, enhancing the democratic decision-making mechanism, and providing maximum protection for the lawful rights and interests of teachers and students. It has developed a complete set of rules and regulations for university governance. Its *Rules of the SUFE Graduate School* (2019) contains a total of 49 regulatory documents that cover admission, training, disciplines and academic degrees, professional degrees, management of enrollment qualifications, international exchanges, rewards and grants for academic and scientific research, graduate supervisors, and management of graduate education. It has been investing continuous effort in building a complete administration system to promote regulated management of graduate education. SUFE has also developed guidelines for the work of the Graduate School, including the *Guidelines for Release of Key Graduate Admission Information*, with the view of ensuring strict execution of rules and regulations and making them transparent, actionable and subject to evaluation. SUFE adheres to information disclosure in every aspect of its work. It brings openness and fairness to graduate admission by disclosing its admission policy, publishing enrollment information, solving concerns of applicants in a timely manner, and allowing public supervision and inquiry through multiple channels. It provides transparent training information and adheres to announcement of key information to ensure regulated teaching; publishes winners of rewards in a timely manner to motivate teachers and students; and conducts prior warning and timely monitoring of learning progress. While enhancing the solemnity of dissertation defense, SUFE brings transparency to dissertation defense by releasing immediate defense information through multiple channels to allow wider scrutiny.

4.5 Numerous Learning and Research Achievements

To transform into a learning and research-oriented organization and provide strong support for SUFE’s development of world-class disciplines, the Graduate School has been actively participating in various training sessions and applying for recognition of its graduate education and management outcomes. In the academic year of 2018-2019, the Graduate School sent a total of 70 managerial employees to attend various meetings and training sessions organized by the Shanghai Graduate Education Society, Chinese Society of Academic Degrees and Graduate Education (CSADGE), and China Scholarship Council, so as to gain a complete visibility into national and local graduate education information. The *Research and Practice on the Discipline Assessment System for Finance and Economics Oriented Universities and Colleges: An Analysis Based on the Results of the Fourth Round of National Discipline Assessment*, which was completed independently by the Graduate School, was rated as an outstanding paper at the 12th Academic Conference on Degrees and Graduate Education Assessment. Four papers including *Developing Ambitious Goals and Working Guidelines, Serving Needs and Improving Quality* were included in the papers collection of the 2018 CSADGE Member Meeting and Academic Seminar. Six projects including *Focusing on Academic Progress, Enhancing Academic Capabilities and Cultivating Academic Talents: Building of the “3C+3P” Education System for Building the High-level Innovation Capability of Doctoral Students* were granted the 2018 SUFE Teaching Achievements Award.

Four papers completed by the management team of the Graduate School, including *Evolution of China’s Graduate Admission Policy over the Last Four Decades Since the Launch of the Reform and Opening-up Policy*, were published in *Graduate Education in Shanghai* (No. 4 in 2018), serving as a reference for the reform and development of SUFE’s graduate education. Innovative measures including *SUFE’s Training of International Organization Talents and Meeting Talent Training Needs in the New Era and Exploring New Teaching Methods for Professional Graduate Students* were published in *Chinese Graduate Students* (No. 176 issued in June 2019).

05 Graduate Education Achievements

ANNUAL REPORT ON QUALITY OF GRADUATE EDUCATION

5.1 Social Practice

Taking advantage of such platforms as the Innovation and Entrepreneurship Program for Graduate Students and “Knowledge to Practice Cup” Social Investigation and Study Competition, SUFE encourages its graduate students to keep an eye on their surroundings, probe into and analyze social issues from a professional perspective, and provide their own solutions to economic and social development. Graduate students participated in a wide range of social practice activities under the guidance of their tutors. Many of them got approval for their innovation and entrepreneurship projects and were winners of various national and provincial-level innovation and entrepreneurship competitions such as the Challenge Cup, National Entrepreneurship Competition for College Students, and Knowledge to Practice Cup.



Pictures:

1. SUFE students win the gold award of the 2018 National Entrepreneurship Competition for College Students (for MBA Students)
2. SUFE students at the finals and award ceremony of the 16th “Challenge Cup” Shanghai Extracurricular Academic and Scientific Works Competition for College Students

The graduate students of SUFE actively participate in voluntary public service activities, including those related to venue services, community public services, and caring for vulnerable groups, where they can improve themselves by gaining new knowledge, broadening their horizons, and sharpening their capabilities, while helping others. During the first China International Import Expo (CIIE) convened in Shanghai on November 5-10, 2018, 64 graduate students of SUFE provided satisfactory voluntary services on the opening day for the Press Center and the Security Team for Opening Ceremony Registration. They were covered by newspapers including *China Education Daily*, *Wen Hui Bao*, *Shanghai Morning Post*, and *Youth Daily*, as well as online media including *Youth Shanghai* and *Education in Shanghai*.



Pictures:

1. SUFE volunteers ready to serve the first CIIE
2. SUFE volunteers make vows to serve the first CIIE
- 3/4. SUFE leadership visit SUFE volunteers at the first CIIE
5. SUFE students provide voluntary services for the first CIIE

5.2 Degrees Granting and Graduates

In the academic year of 2018-2019, SUFE granted academic doctoral degrees to 175 students, academic master's degrees to 1,001 students, and professional master's degrees to 1,637 students. Among the doctoral graduates, 66.07% majored in economics, followed by management (19.64%). Among the academic master's graduates, 53.14% majored in economics, followed by management (24.85%). Among the professional master's graduates, 38.24% obtained Master of Business Administration and 11.98% Juris Master.

Table 9 Number of SUFE Students Granted Academic Doctoral Degrees and Master's Degrees in the Academic Year of 2018-2019

Categories of Disciplines	Doctorate	Master	Total
Philosophy	6	16	22
Economics	101	563	664
Science of Law	11	109	120
Arts		75	75
Science of History		4	4
Science	15	32	47
Management	42	202	244
Total	175	1001	1176

Table 10 Number of SUFE Students Granted Professional Master's Degrees in the Academic Year of 2018-2019

Professional Degree Name	No. of Students Granted	Professional Degree Name	No. of Students Granted
Master of Finance	221	Juris Master	138
Master of Applied Statistics	103	Master of Teaching Chinese to Speakers of Other Languages	29
Master of Taxation	47	Master of Business Administration	467
Master of International Business	149	Executive Master of Business Administration	102
Master of Engineering Management	34	Master of Public Administration	132
Master of Insurance	19	Master of Accounting	154
Master of Valuation	42		
Total	1637		

In the academic year of 2018-2019, 26.79% students of SUFE's successive master-doctor programs, 16.09% general doctoral students, 87.35% full-time master's students, and 32.98% part-time master's students obtained their degrees as scheduled.

5.3 Teaching Evaluation by Students

To ensure the teaching quality of graduate courses, SUFE maintains its emphasis on building the system for monitoring the teaching quality of graduate courses and regularly conducts online teaching evaluation by students. In the academic year of 2018-2019, SUFE conducted online quantitative evaluation of over 1,300 graduate courses in the form of questionnaire covering teaching ethics, norms, contents, methods and results, and 98.89% courses received a total score of 4 and above (5-point scale). Students were generally satisfied with the quality and results of SUFE's graduate teaching and fully recognized their teachers' capabilities of imparting knowledge, improving their abilities and inspiring their lives.

5.4 Scientific Research Achievements of Students

As two core indicators for evaluating the quality of graduate teaching, publication of academic papers and scientific research can reflect the sense of innovation as well as research and practice capabilities of graduate students. By improving evaluation standards for graduate scientific research, awarding outstanding scientific research outcomes and funding high-level academic conferences, SUFE has been encouraging students to make independent scientific exploration, conduct innovative research and deliver high-end academic achievements. In the academic year of 2018-2019, graduate students of SUFE published 501 papers in domestic and foreign academic journals, 278 of which were published with these students as first authors in core journals and above. The number and quality of papers published in international and domestic high-end academic journals further improved.

5.5 Awards Winners

In the academic year of 2018-2019, while working hard in studies, SUFE's graduate students maintained active participation in various competitions as well as cultural and sports events, winning a good many awards.

Table 11 SUFE Graduate Students Winning Awards in the Academic Year of 2018-2019 (Selected)

No.	School	Winner	Competition/Event	Level
1	School of Accountancy	Zhao Yujia	2019 National English Competition for College Students First Prize	National
2	School of Economics	Xu Qianli	National Post-Graduate Mathematical Contest in Modeling First Prize	National
3	School of Accountancy	Su Yu	2019 National English Competition for College Students Second Prize	National
4	School of Accountancy	Zhang Guangxi	2019 National English Competition for College Students Second Prize	National
5	School of Accountancy	Huang Tingting	2019 National English Competition for College Students Second Prize	National
6	School of Economics	Xia Yuxuan	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
7	School of Economics	Li Zhuang	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
8	School of Economics	Yun Jie	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
9	School of Economics	Cao Yanqing	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
10	School of Economics	Chen Chen	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
11	School of Economics	Wu Linying	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
12	School of Economics	Huang Zigang	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
13	School of Economics	Zhang Xing	National Post-Graduate Mathematical Contest in Modeling Second Prize	National

No.	School	Winner	Competition/Event	Level
14	School of Economics	Zhang Yue	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
15	School of Mathematics	Shen Chong	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
16	School of Mathematics	Qiu Xiaojian	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
17	School of Mathematics	Hao Gang	National Post-Graduate Mathematical Contest in Modeling Second Prize	National
18	School of Economics	Zhao Zhongyue	The 6th "CFEX Cup" National Finance and Derivatives Knowledge Competition for College Students Third Prize	National
19	School of Finance	Sun Peilun, etc.	2018 "SPDB Credit Card Cup" SAS Data Analysis Competition for College Students No. 4	National
20	School of Statistics and Management	Wang Dongyue, etc.	2018 "SPDB Credit Card Cup" SAS Data Analysis Competition for College Students Top 20	National
21	School of Statistics and Management	Dai Zhe, etc.	2018 "SPDB Credit Card Cup" SAS Data Analysis Competition for College Students Top 50	National
22	School of Statistics and Management	Fan Qianwen, etc.	2018 "SPDB Credit Card Cup" SAS Data Analysis Competition for College Students Top 100	National
23	School of Finance	Li Jinlong, etc.	2018 "SPDB Credit Card Cup" SAS Data Analysis Competition for College Students Top 100	National
24	School of Finance	Fang Lei	The 13th China College Student of the Year Award Nominee	National
25	School of Accountancy	Lan Ziwen	2018 China Telecom Scholarship Fly Young Award	National
26	School of Economics	Xu Chao	The 18th China Student Orienteering Tournament No. 7 in the Points Race	National
27	School of Mathematics	Li Qimei, etc.	The First "Dedication Cup" Shanghai Youth Volunteer Project Competition Gold Award	Municipal
28	School of Public Economics and Administration	Xu Min	2018 "Knowledge to Practice Cup" Shanghai Summer Social Practice Competition for College Students First Prize	Municipal
29	International Cultural Exchange School	Jiang Haina	The 5th "JSU-Wordoor Cup" Teaching Skill Competition and Talent Contest for MTCESOL in Jiangsu, Zhejiang and Shanghai First Prize in the Talent Contest	Municipal
30	International Cultural Exchange School	Wu Liangdi	The 5th "JSU-Wordoor Cup" Teaching Skill Competition and Talent Contest for MTCESOL in Jiangsu, Zhejiang and Shanghai First Prize in the Talent Contest	Municipal
31	International Cultural Exchange School	Ou Yalin	The 5th "JSU-Wordoor Cup" Teaching Skill Competition and Talent Contest for MTCESOL in Jiangsu, Zhejiang and Shanghai Second Prize in the Teaching Skill Competition	Municipal
32	International Cultural Exchange School	Zhu Lisha	Shanghai Mock Job Interview Competition Second Prize	Municipal
33	School of Law	Zhou Yuan	The 16th "Challenge Cup" Shanghai Extracurricular Academic and Scientific Works Competition for College Students Third Prize	Municipal
34	School of Mathematics, etc.	Wang Haoming, etc.	The 16th Shanghai Municipal Sports Meeting No. 4 in the A Group of the Men's Badminton Tournament (for Universities and Colleges)	Municipal
35	School of Accountancy	Zhang Yuhan	The 16th Shanghai Municipal Sports Meeting No. 8 in the Football Tournament	Municipal

5.6 Quality of Dissertations

SUFE has employed the Dissertation Review Platform of the China Academic Degrees & Graduate Education Development Center to conduct pre-defense double-blind review of dissertations, starting from 2019. In the academic year of 2018-2019, 209 doctoral students participated in the double-blind review, and 679 doctoral dissertations and 460 master's dissertations were chosen for the review. SUFE has further strengthened its control of the quality of dissertations.

5.7 Employment

As of the end of June 2019, the employment rate of SUFE's 2019 graduates hit 97.35%; the employment rate of master's graduates reached 98.36% and that of doctoral graduates 86.89%.

As of the end of December 2018, in terms of employment by sector, 55.26% of 2018 master's graduates of SUFE entered the finance industry, and of all 2018 doctoral graduates of SUFE, 61.96% were employed by education and research institutions, 29.35% by the finance industry, 4.35% by the computer/internet/e-commerce industry, and 2.71% by consulting firms, reflecting the goals and characteristics of SUFE's graduate education.

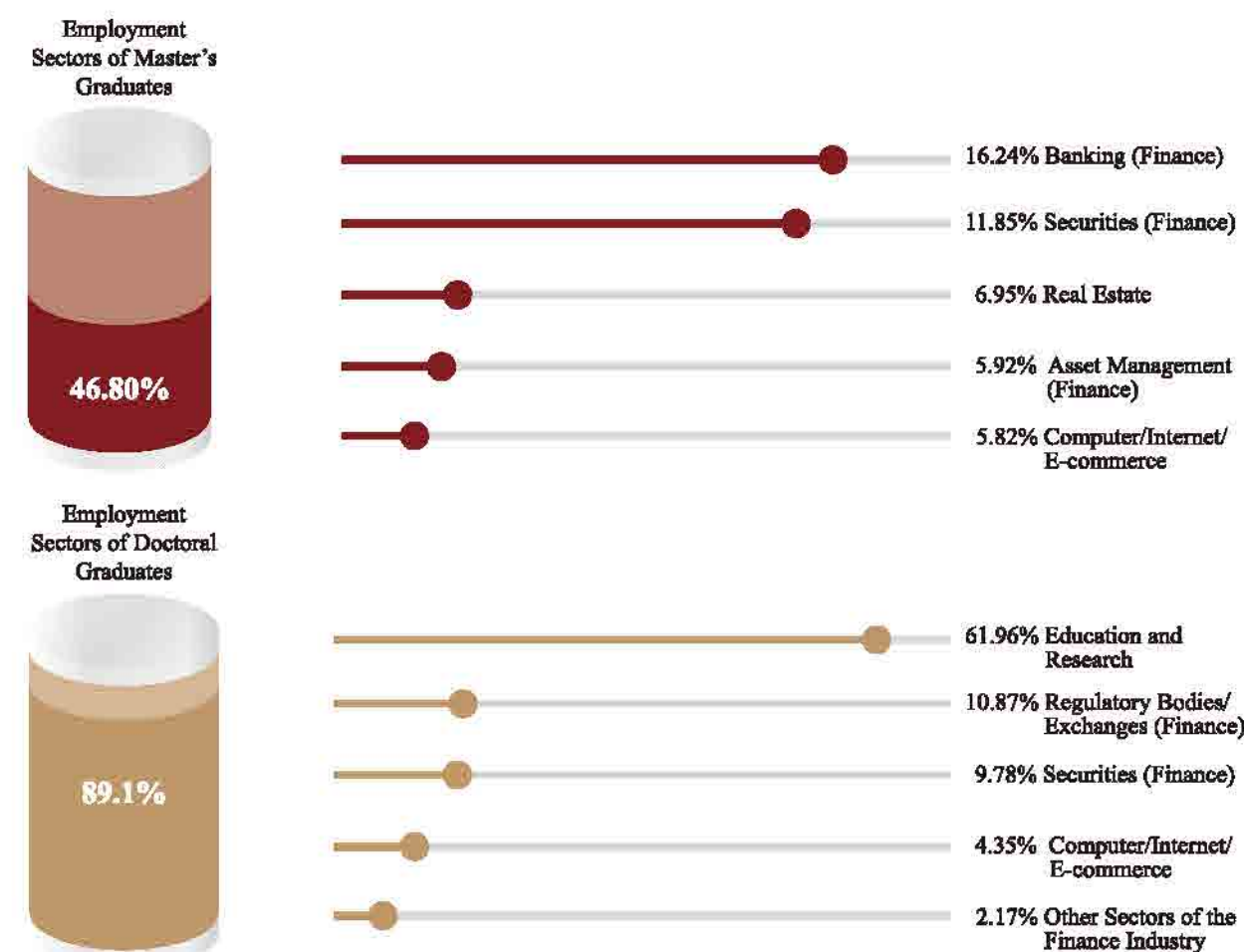


Figure 7 Employment of 2018 Master's and Doctoral Graduates of SUFE by Sector

In terms of employment by region, the majority of 2018 graduates of SUFE chose to work in Shanghai, Beijing and other cities in the eastern coastal region; 80.1% of 2018 master's graduates chose to work in Shanghai and 15.6% in other cities of the eastern coastal region; 62% of 2018 doctoral graduates chose to work in Shanghai and 25% in other cities of the eastern coastal region.

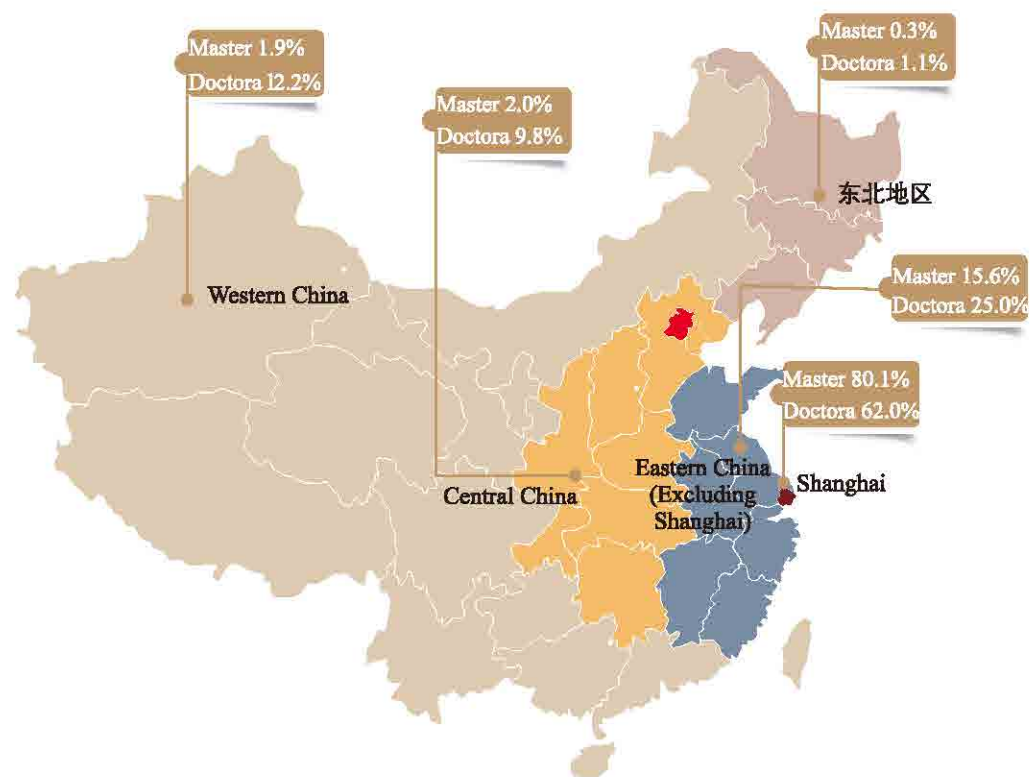


Figure 8 Employment of 2018 Master's and Doctoral Graduates of SUFE by Region

Table 12 Employment of SUFE Graduates in Recent Years*

Type	2018 Master's Graduates		2017 Master's Graduates		2018 Doctoral Graduates		2017 Doctoral Graduates	
	Number	%	Number	%	Number	%	Number	%
Agreement Signed	965	49.46%	1031	62.52%	92	33.95%	103	59.54%
Directional Commissioned Training	796	40.80%	435	26.38%	145	53.51%	38	21.97%
Domestically Further Education	98	5.02%	101	6.12%	-	-	-	-
Overseas Further Education	13	0.67%	8	0.49%	2	0.74%	-	-
National/Local Programs	-	-	1	0.06%	-	-	-	-
Contract-based Employment	18	0.92%	30	1.82%	2	0.74%	14	8.09%
Flexible Employment	56	2.87%	39	2.37%	28	10.33%	15	8.67%
Total Employment Rate	99.74%		99.76%		99.26%		98.27%	
To be Employed	2	0.10%	1	0.06%	-	-	1	0.58%
No Employment	3	0.15%	3	0.18%	2	0.74%	2	1.16%

* Statistics as of the end of December 2018. The number of graduates refers to full-time master's and doctoral graduates who obtained their degrees in 2018.

06 Development Features

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6.1 Maintaining Close Integration Between Knowledge and Practice and Vigorously Exploring New Models for Training Application-oriented Master's Students

To promote the development of its advantageous disciplines and special graduate education programs as well as the contents-focused sustainable development of its high-quality graduate education, SUFE has launched Chinese Finance: A Practical Approach for three consecutive semesters, which is a forward-looking course for financial elites created in collaboration with Fullgoal Fund Management Co., Ltd. (Fullgoal Fund). Taught by the company's elite employees working on the front line, the course includes workplace capabilities in its training content to truly realize close integration between knowledge and practice, bringing new technologies, knowledge and ways of thinking to students. It not only cultivates talents for businesses but also provides internship and employment opportunities for students. The College of Business has carried out integrated practice programs for MBA students for several consecutive years, where MBA students apply knowledge to practice by forming professional teams with teachers to help businesses solve practical problems. While identifying and solving their management challenges, businesses have further deepened cooperation with SUFE in talent selection, case development, building of practice bases, and integration between production, education and research.

SUFE's exploration into new models for training application-oriented talents has promoted dynamic alignment between its internal and external resources and the changing society, scientific and technological community and demand for talents, representing a new trend in the future development of graduate education.

6.2 Building "3C+3P" Training System to Sharpen High-level Innovation Capability of Doctoral Students

Keeping in mind the features of and requirements for the development of graduate education in China, SUFE acts in line with current trends and sticks to inheritance and innovation. Especially when exploring and carrying out doctoral education reforms, while adhering to the fundamental task of strengthening morality for education and the overall goal of building the high-level academic innovation capability of doctoral students, SUFE has been optimizing the academic curriculum for graduate students and enhancing learning of academic rules and ethics; improving the research innovation fund for graduate students and providing greater support for key innovation projects; making greater effort in motivating doctoral students to produce high-quality academic dissertations; expanding support for participation in high-level academic conferences to encourage graduate students to grow their presence in the academic world; deepening the high-level joint training mechanism to promote internationalization of graduate students; and selecting Academic Star Award winners from graduate students as examples of academic excellence. It has gradually developed the "3C+3P" training system for sharpening the high-level innovation capability of doctoral students, which features an integration of "Curriculum" (academic curriculum to ensure compliance), "Conference" (high-level academic conferences to promote exchanges), "Co-training" (cooperation on high-level training), "Project" (basic innovation projects to promote practice), "Paper" (encouraging high-quality dissertations), and "Prize" (using the Academic Star Award as a promotional platform), with the view of continuously improving the academic competitiveness of doctoral students and the quality of SUFE's doctoral education.

6.3 Giving Full Play to Leading Role of High-level Academic Outcomes and Developing Scientific Research Standards for Academic Degree Granting in a Systematic Manner

To enhance the guiding role of scientific research standards for academic degree granting in training of high-level innovative talents and encourage graduate students to conduct high-level scientific research, in the academic year of 2018-2019, based on a summary of the implementation of SUFE's school-level scientific research standards over the years and on the successful experience of other high-level research-oriented universities and colleges, SUFE's School of Accountancy, School of Economics, School of Finance, College of Business, School of Statistics and Management, Institute of Finance and Economics, and School of Information Management and Engineering, formulated and revised their scientific research standards for academic degree granting in accordance with the *Interim Measures of SUFE for the Development of School-level Scientific Research Standards for the Application for Academic Degrees* (SUFE Graduate [2018] No.34) and the *Basic Requirements for Applicants of Authorized Granting of Master's and Doctoral Degrees*. These standards had been validated by multiple parties and were put into effect upon review and approval by the SUFE Academic Degrees Evaluation Committee.

6.4 Conducting Innovative Reforms That Promote Development of Ideological and Political Courses and Courses Guided by Ideological and Political Thoughts

To put into practice the spirit of the National Education Conference and integrate ideological and political education into the whole process of talent training, SUFE has launched a number of innovative reforms that promote the development of ideological and political courses and courses guided by ideological and political thoughts (ideological and political development). It has been building an innovative mechanism for promoting its communication with teachers and students by organizing them to study the spirit in the important speech delivered by Xi Jinping, General Secretary of the CPC Central Committee, at the SUFE Conference for Ideological and Political Teachers, learning from the advanced experience and measures regarding ideological and political development, holding symposiums and experience sharing meetings on ideological and political development, and launching "Talk with the Secretary" platforms including the Secretary's Clinic, Secretary's Lesson Preparation Workshop, Afternoon Tea with the Secretary, and Face-to-face Talk with the Secretary. SUFE has made ideological and political courses more appealing to students by innovating teaching methods and organizing experiential teaching activities with themes including "Honoring Revolutionary Martyrs to Promote Patriotism", while furthering the integration of ideological and political education into various courses through development of courses guided by ideological and political thoughts.

6.5 Deepening Training of International Organization Talents and Inspiring Patriotism in Students

In the academic year of 2018-2019, with a focus on the measures of and experience in training international organization talents, SUFE's Program for Training of International Organization Talents continued to optimize its management model, upgrade its cooperation with foreign universities and colleges, and build a clear evaluation and selection mechanism by analyzing the successful experience of other Chinese universities and colleges and the experience it had gained since its launch. As a major move by SUFE to serve China's key national strategies and innovate talent training models, the program has been recognized as a Highlight Program of University Secretaries and Presidents and a Shanghai First-class Graduate Innovation Program, and was included by the China Scholarship Council as a Program for Training of Backup Talents for International Organizations in March 2019. The SUFE model for training international organization talents has received considerable coverage in the media.

With the mission of strengthening morality for education, the program gives top priority to evaluating the moral qualities of applicants during the admission process, so as to pursue its mission from the very beginning. In the academic year of 2018-2019, it provided a complete set of high-quality ideological and political courses and invited internal and external experts to attend seminars on strengthening morality for education, with the view of passing on the Core Socialist Values. It helped participants develop solid ideals and beliefs and patriotism by carrying out educational activities with the theme of "Inspiring High Ideals and the Chinese Dream in SUFE Students" and by organizing participants to visit patriotic education bases in Shanghai including the Former Residence of Chen Yun and Longhua Martyrs Cemetery. It enhanced its solemnity and the sense of mission of participants by holding the Certificate Delivery Ceremony with the theme of "A Global Vision Based on Love for Motherland", where participants were granted program certificates, made a solemn vow and were presented with the program flag. The program organized representatives of its former participants to share their study and internship experience, so as to set examples for participants to follow. It also published articles with the theme of "Understanding International Organizations and International Rules" to tell stories of SUFE students as interns in international organizations, encouraging SUFE students to inherit SUFE's cultural DNA of "Developing for China and Striving for Innovation" for the new century and make China's voice louder on the world stage.

07

Continuous Improvement

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7.1 Reforming and Innovating Institutions and Mechanisms to Ensure Performance of Supervisors' Responsibility of Strengthening Morality for Education

To implement the *Opinions of the Ministry of Education on Ensuring Performance of Graduate Supervisors' Responsibility of Strengthening Morality for Education* (Educational Research [2018] No. 1) and the *Circular of the Shanghai Academic Degree Commission on Distributing the Detailed Measures for Performance of Graduate Supervisors' Responsibility of Strengthening Morality for Education* (Shanghai Academic Degree [2019] No. 6), SUFE will further develop detailed requirements for the political and moral qualities and professional capabilities of supervisors, specify strengthening morality for education as one of their responsibilities, establish a proper supervisor evaluation system that features fairness and openness, enhance training for them, support their participation in academic exchanges and practice projects in collaboration with businesses, provide them with a more favorable research environment, and improve their satisfaction at work, so as to create a team of graduate supervisors with high ideals, strong beliefs, benign morality, broad knowledge, and a heart of benevolence.

7.2 Delivering Comprehensive Improvement in Graduate Dissertation Quality Through Informatization

SUFE will explore the development and improvement of academic degree-granting standards in line with the Double First Class University Plan, so as to play a leading role in that respect. It will continue to promote double-blind review of graduate dissertations with the help of the Dissertation Review Platform of the China Academic Degrees & Graduate Education Development Center by upgrading its teaching system and other related modules, optimizing the review process, and expanding the scope of dissertations to be selected for review. By learning from the successful experience of other universities and colleges, SUFE will pilot a new mechanism that features prudent treatment of low-quality dissertations and classification of dissertations. It will enhance investigation into and punishment against academic misconduct and explore ways of opening dissertations to public scrutiny. It will make clear and ensure performance of dissertation-related rights and responsibilities of its schools, supervisors and dissertation defense committees, while continuing to conduct performance-based management of dissertation quality.

7.3 Giving Priority to Serving Educational Needs and Promoting Internationalization of Graduate Education

With a focus on serving national strategies, SUFE will recruit more international students of higher quality with a selection mechanism that highlights innovative projects and professional supervisors, while enhancing scrutiny of the global vision and patriotism of domestic students. It will promote the building of international courses and programs through an integration of independent effort and partnership, with the view of providing more courses and programs instructed in English and improving the quality of summer international courses. SUFE will enhance its effort to develop programs for area studies and international cooperative training, and encourage its schools to develop joint degree programs with priority partners being universities and colleges that boast strong international or regional presence and with a priority focus on SUFE's world-class disciplines and fields where China is in urgent need of talents. By innovating related institutions and mechanisms, SUFE will enhance the brand image and influence of its programs for international cooperative training of special talents by further improving the process and model for training international organization talents. Moreover, it will set up the International Organization School that focuses on training talents in international economics and area studies, explore the "1+n" model to promote joint training of master's and doctoral students with foreign universities and colleges, encourage and support its students to study master's and doctoral programs at top foreign universities and colleges and to participate in study abroad programs and joint training programs, and increase the number and ratio of its graduate students joining international academic exchanges.